

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	09/785,944
Filing Date	February 16, 2001
First Named Inventor	Martin E. Fermann
Art Unit	2814
Examiner	Sayadian, Hrayr
Attorney Docket No.	IMRAA.015C1/IM-72C

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	1	English translation of IMRA America reply for Japanese Patent Application No. 2007-146684, dated July 19, 2011, in 8 pages.	
	2	English translation of amendments for Japanese Patent Application No. 2007-146684, dated July 19, 2011, in 4 pages.	
	3	IPG Photonics Corp. Complaint for Trial Case for Appeal Decision Cancellation Demand for JP Patent No. 3990034, dated June 24, 2011 in 3 pages	
	4	IPG Photonics Corp. Correction for Written Complaint for 2011 Gyo(Ke) No. 10201 Trial Case for Appeal Decision, dated July 19, 2011 in 1 page	
	5	IPG Photonics Corp. Explanation of Exhibits (1) for 2011 Gyo(Ke) No. 10201 Trial Case for Appeal Decision, dated July 15, 2011 in 7 pages	
	6	IMRA America Written Reply in 2011 (Gyo-ke) No. 10201 Trial Case for Appeal Decision, dated July 21, 2011, including copy of certificate of additional indication of specific infringement litigation representative work, in 3 pages	
	7	IPG Brief in Request for cancellation of trial decision 2011 (Gyo-ke) No. 10201, dated August 15, 2011, in 40 pages.	
	8	IPG Exhibit 26: Technology Trend Research Regarding High Performance Optical Fibers, dated July 2001, in 21 pages.	
	9	Oral proceeding report in Request for cancellation of trial decision 2011 (Gyo-ke) No. 10201, dated September 27, 2011, in 3 pages.	
	10	Exhibit table from "Request for Cancellation of Trial Decision 2011 (Gyo-ke) No. 10201", dated November 14, 2011, in 2 pages. (Table includes Exhibits B-1 to B-3, listed separately below.)	
	11	Exhibit B-1: Tab 4: Declaration of Peter C. Schultz, dated May 27, 2009, in 4 pages.	
	12	Exhibit B-1: Tab 3: "Applying the teaching of Tumminelli to 'strip away' higher order modes", undated, in 2 pages.	
	13	Exhibit B-1: Tab 2: "Analysis of Tumminelli U.S. Pat. No. 5,818,620", undated, in 5 pages.	
	14	Exhibit B-2: Declaration of Dr. Wayne Harvey Knox regarding the reexamination of U.S. Pat. No. 5,818,620, dated May 31, 2009, in 22 pages.	
	15	Exhibit B-3: D. MARCUSE, "Loss Analysis of Single-Mode Fiber Splices," Bell System Technical Journal, Vol. 56, No. 5, May-June 1977, pp. 703-718.	
	16	IMRA America Brief in Request for cancellation of trial decision 2011 (Gyo-ke) No. 10201, dated November 14, 2011, in 51 pages	

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Examiner Signature	Date Considered
<p><b>*Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

**T<sup>1</sup>** - Place a check mark in this area when an English language Translation is attached.